

PATENT APPLICATION
TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

920019 C8

First Inventor or Application Identifier

Martin A. Cheever

Title

COMPOUNDS FOR ELICITING OR ENHANCING IMMUNE REACTIVITY
TO HER-2/neu PROTEIN FOR PREVENTION OR TREATMENT OF
MALIGNANCIES WHICH THE HER-2/neu ONCOGENE IS ASSOCIATED

Express Mail Label No.

EM001237621US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. ☐ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] **66**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **4**4. Oath or Declaration [Total Pages] **2**

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☒ Incorporation By Reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☐ Assignment Papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ Return Receipt Postcard
- 14. ☐ Small Entity Statement(s) ☒ Statement filed in prior application, Status still proper and desired
- 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: **08/625,101**Prior application information: Examiner **N. Johnson**Group / Art Unit **1642**☐ Claims the benefit of Provisional Application No. _____

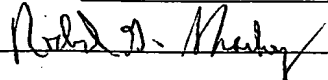
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Respectfully submitted,

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SIGNATURE



Date

October 6, 1998

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants : Martin A. Cheever and Mary L. Disis
Filed : October 6, 1998
For : COMPOUNDS FOR ELICITING OR ENHANCING IMMUNE
REACTIVITY TO HER-2/*neu* PROTEIN FOR PREVENTION
OR TREATMENT OF MALIGNANCIES IN WHICH THE
HER-2/*neu* ONCOGENE IS ASSOCIATED



Docket No. : 920010.448C8
Date : October 6, 1998

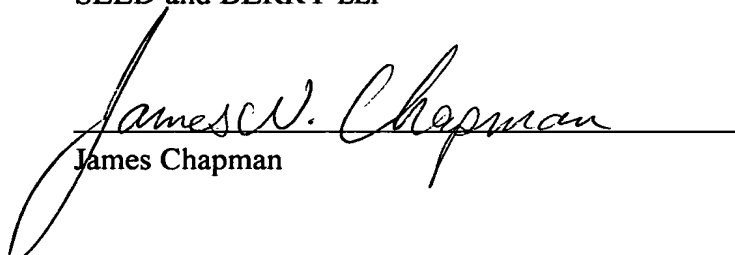
Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EM001237621US, on October 6, 1998, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,
SEED and BERRY LLP


James Chapman

RGS:gatc

Enclosures:

Postcard
Form PTO/SB/05
Specification, Claims, Abstract (66 pages)
4 Sheets of Drawings (Figures 1-4)
Copy of Declaration and Power of Attorney